

Patient List Format and Example

Users may select to run Patient Lists for the National GPRA/GPRA Performance reports (LST menu option), any Selected Measures report (COM, PP, or ALL menu options), Elder Care (ELD menu option), and HEDIS reports (HED menu option). Users may also run the Comprehensive National GPRA Patient List. The CMS Performance report automatically includes patient lists.

For all reports except the CMS Performance report, the lists display patients who meet the numerator(s), denominator(s) or both, depending on the type of report run and the performance measure. Patient list options include a random list (10% of the total list), a list by primary care provider, and the entire patient list.

For the National GPRA/GPRA Performance reports patient list, patient lists can be created for one or more performance measure topics at a time. The patient list for these reports allows users to include only patients meeting the measure, not meeting the measure, or both for most performance measures.

For the Comprehensive National GPRA Patient List, the patient list shows all patients included in the National GPRA report and which measures each patient did not meet.

For the COM, PP, ALL, Elder Care, and HEDIS reports, users select for which performance measure topic(s) they want to run patient lists and do not have the option of choosing to include only patients meeting or not meeting the performance measure.

Patient Lists are organized by 1) Community; 2) gender; 3) age; and 4) last name.

Key elements of the Patient List format are described below. The format for all Patient Lists will be the same, except as specifically noted below.

- ❶ **Report Type:** indicates “Patient List” as the report type.
- ❷ **Patient List Type:** displays whether the Patient List is a “Random List,” “List by Provider,” or “Entire Patient List,” depending on which option the user selected.
- ❸ **List Description:** describes which patients will be included on the list. In the example below, the Patient List contains:
 - The Performance Measure Title and the Patient List title, which in the example below is all patients in either of the two denominators (women ages 21 through 64 at the beginning of the Report period).
 - The denominator type the patient belongs to (e.g., “UP” (User Population) or “AC” (Active Clinical));
 - The date that a test meeting the numerator definition was performed, if any; and the test code.

④ **List Columns:** all patient lists contain the following columns of information: *patient name* displayed as Last, First; the patient's *Health Record Number* (HRN); the *Community* name; the patient's *gender*, e.g., M or F; the patient's *age* (*as of the first day of the Report period*); and denominator and numerator information (see ⑥ and ⑦ below). Patient Lists are organized by 1) Community; 2) gender; 3) age; and 4) last name

⑤ **Age Column:** displays the age of the patient at the beginning of the Report period.

⑥ **Denominator Column:** for most patient lists, displays which denominator the patient is a member of (e.g., "AC" for Active Clinical). For measures that provide only a count for the numerator and use no denominator, such as the Dental Sealants measure, the denominator values will be blank.

⑦ **Numerator Value Column:** displays different information about the numerator, such as the date a test was given and the test code, whether a health factor or patient education code was recorded, etc. In the example below, the value column identifies the date a Pap smear was documented and the test code. If no date and code information is displayed, this patient is counted in the denominator only, not in the numerator. **NOTE:** This column is not included in the Comprehensive National GPRA Patient List report. Instead, it has a Measure Not Met column (see #8 below). In addition, the performance measures are not listed separately; each patient is listed only once with all the measures s/he did not meet indicated in the Measure Not Met column.

⑧ **Measure Not Met Column:** displayed only for the Comprehensive National GPRA Patient List. Displays all of the applicable National GPRA report measures a patient did not meet. If there are more measures than can be listed within this column, the measures will be wrapped to the next line, starting in the Patient Name column.

1 *** FY06 Clinical Performance Measure Patient List ***
 DEMO HOSPITAL
 Report Period: Jan 01, 2003 to Dec 31, 2003

2 Random Patient List

 Cancer Screening: Pap Smear Rates

Denominator(s):

GPRA Denominator: Female Active Clinical patients ages 21 through 64 without documented history of Hysterectomy.

Female User Population patients ages 21 through 64 without a documented history of Hysterectomy.

Numerator(s):

Patients with a Pap Smear documented in the past 3 years, including refusals in past year.

A: Patients with documented refusal in past year.

Age of the patient is calculated at the beginning of the Report period. Hysterectomy defined as V Procedure: 68.4-68.8 or CPT 51925, 56308, 58150, 58152, 58200-58294, 58550-54, 58951, 58953-58954, 59135, 59525. Pap Smear definitions: 1) V Lab: Pap Smear; 2) POV: V76.2 Screen Mal Neop-Cervix, V72.31 Gynecological Examination, Pap Cervical Smear as Part of General GYN exam, V72.32 Gynecological Examination, Pelvic Examination (annual) (periodic), V72.3 Gynecological Examination (old code, to be counted for visits prior to 10/1/04 only), V76.47 Vaginal Pap Smear for Post-Hysterectomy Patients, or V76.49 Pap Smear for Women w/o a Cervic ; 3) V Procedure: 91.46; 4) V CPT: 88141-88167, 88174-88175, Q0091 Screening Pap Smear; 5) Womens Health: procedure called Pap Smear; 6) LOINC taxonomy; 7) site-populated taxonomy BGP GPRA PAP SMEAR; 8) Refusal (in past year) Lab Test Pap Smear.

During FY 2006, maintain the proportion of female patients ages 21 through 64 without a documented history of hysterectomy who have had a Pap screen within the previous three years at the FY 2005 level.

IHS Performance - FY 2004 - 58.0%; FY 2003 - 61%; IHS 2010 Goal: 90%

3 Cancer Screening: Pap Smear Rates: List of women 21-64 with documented test/refusal, if any.

UP=User Pop; AC=Active Clinical; AD=Active Diabetic; AAD=Active Adult Diabetic; PREG=Pregnant Female; IMM=Active IMM Pkg Pt

	4			5		6	7
PATIENT NAME	HRN	COMMUNITY	SEX	AGE	DENOMINATOR	NUMERATOR	VALUE
PATIENT,VERONICA ROSE	999999	COMMUNITY #1	F	21	UP,AC	05/22/02	V76.2
PATIENT,RENEE	888888	COMMUNITY #2	F	21	UP,AC	06/14/02	88164
PATIENT,SYDNEY	777777	COMMUNITY #2	F	23	UP,AC	06/26/02	V76.49
PATIENT,GRETA	666666	COMMUNITY #2	F	23	UP		
PATIENT,MARILYN	444444	COMMUNITY #2	F	26	UP,AC	03/15/03	ref
PATIENT,VELMA	222222	COMMUNITY #2	F	34	UP,AC		
PATIENT,EUNICE	000002	COMMUNITY #2	F	45	UP,AC	05/16/03	Lab
PATIENT,CELESTE	000003	COMMUNITY #2	F	45	UP,AC	04/19/02	V72.3

Figure 1: Sample Patient List

*** IHS Comprehensive National GPRA Patient List ***
 *** List of Patients not meeting a National GPRA measure ***
 CRS 2006, Version 6.0
 DEMO HOSPITAL
 Report Period: Jan 01, 2003 to Dec 31, 2003
 All Patients

 UP=User Pop; AC=Active Clinical; AD=Active Diabetic; AAD=Active Adult Diabetic
 PREG=Pregnant Female; IMM=Active IMM Pkg Pt

PATIENT NAME	④ HRN	COMMUNITY	SEX	⑤ AGE	⑥ DENOMINATOR	⑧ MEASURE NOT MET
YAZZIE,PATIENT Tobacco Scrn, AC Alcohol Scrn, AC IPV/DV Scrn, AC BMI	000001	COMMUNITY #1	F	15	UP,AC	Dental Visit, AC
KESSINGER,PATIENT	000002	COMMUNITY #1	F	15	UP	Dental Visit
LEWIS,PATIENT	000003	COMMUNITY #1	F	16	UP	Dental Visit
CHASE,PATIENT Tobacco Scrn, AC Alcohol Scrn, AC IPV/DV Scrn, AC BMI	000004	COMMUNITY #1	F	16	UP,AC	Dental Visit, AC
BEGAY,PATIENT	000005	COMMUNITY #1	F	16	UP	Dental Visit
MAESTAS,PATIENT Tobacco Scrn, AC Alcohol Scrn, AC IPV/DV Scrn, AC BMI	000006	COMMUNITY #1	F	16	UP,AC	Dental Visit, AC
BEGAY,PATIENT Alcohol Scrn, AC BMI	000007	COMMUNITY #1	F	16	UP,AC	AC Tobacco Scrn, AC
YAZZIE,PATIENT Alcohol Scrn, AC IPV/DV Scrn, AC BMI	000008	COMMUNITY #1	F	16	UP,AC	AC Tobacco Scrn, AC
SMITH,PATIENT Tobacco Scrn, AC Alcohol Scrn, AC IPV/DV Scrn, AC BMI	000009	COMMUNITY #1	F	16	UP,AC	Dental Visit, AC

Figure 2: Sample Comprehensive National GPRA Patient List