RESOURCES AND PATIENT MANAGEMENT SYSTEM

Uniform Data System

(BUD)

Installation Guide and Release Notes

Version 16.0
December 2021

Office of Information Technology
Division of Information Technology
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Preface


RPMS UDS Reporting is intended for use by Tribal or Urban health facilities receiving grant funds for primary care system development programs administered by the Bureau of Primary Health Care (BPHC), Health Resources and Services Administration (HRSA). The RPMS UDS Reporting System provides passive extraction of patient and visit data from the Indian Health Service (IHS) RPMS to produce 9 of the 11 UDS report tables required annually by BPHC grantees.

Note: Submit 2021 UDS data by February 15, 2022 in order to assure adequate time for review and correction of data errors prior to final submission. Changes to these data will be permitted until March 31, 2022.

The RPMS UDS Reporting System software will be reviewed annually and updated as needed as BPHC reporting requirements change.

Additional information about BPHC grants and BPHC UDS reporting can be found at the following website: https://bphc.hrsa.gov/datareporting/reporting/index.html.

Rules of Behavior

All RPMS users are required to observe Department of Health and Human Services (HHS) and IHS Rules of Behavior regarding patient privacy and the security of both patient information and IHS computers and networks. This document provides both RPMS and UDS Rules of Behavior.
1.0 Release Notes

This manual provides installation instructions for the RPMS UDS Reporting System for CY 2021. The chapters included in the manual cover these main system components:

1. Installation notes
2. Installation instructions
3. Sample installation

1.1 Key Changes for Version 16.0

1.1.1 Menu Changes

- Menu Changes:
  - Added UD21 UDS 2021 … menu option.
  - Removed UD9 UDS 2019… menu option.

1.1.2 Table 6A – Selected Diagnosis and Services Rendered

- Added new line:
  - 24b: Coronavirus (SARS-CoV-2) vaccine

1.1.3 Table 6B–Quality of Care Measures

- Aligned many measures with 2021 version of the Center for Medicare and Medicaid Services (CMS) electronic Clinical Quality Measures (eCQM):
  - Section C – Childhood Immunizations: updated to CMSv117v9
  - Section D – Cervical Cancer Screening: updated to CMS 124v9
  - Section D – Breast Cancer Screening: updated to CMS125v9
  - Section E – Weight Assessment and Counseling for Nutrition and Physical Activity of Children and Adolescents: updated to CMS 155v9
  - Section F – Preventive Care and Screening: BMI Screening and Follow-Up: updated to CMS 69v9
  - Section G – Preventative Care and Screening: Tobacco Use: Screening and Cessation Intervention: updated to CMS 138v9
  - Section H – Statin Therapy for the Prevention and Treatment of Cardiovascular Disease: Lipid Therapy updated to CMS 347v4
− Section J – Colorectal Cancer Screening: updated to CMS 130v9
− Section K – HIV Screening: updated to CMS 349v3
− Section L – Preventative Care and Screening: Screening for Depression and Follow-Up Plan: updated to CMS 2v10
− Section L – Depression Remission at Twelve Months: updated to CMS159v9

1.1.4 Table 7 – Quality of Care Indicators

• Aligned measures with 2021 version of the CMS eCQM measures
• Section B – Controlling High Blood Pressure: updated to CMS 165v9
• Section C – Diabetes: Hemoglobin A1c (HbA1c) Poor Control (greater than [> 9%]): updated to CMS 122v9

1.1.5 Taxonomy Changes

• None
2.0 Introduction

IHS RPMS UDS Reporting is intended for use by Tribal or Urban health facilities receiving grant funds for primary care system development programs administered by the BPHC, HRSA. The RPMS UDS Reporting System provides passively extracting patient and visit data from the RPMS to produce 9 of the 11 UDS reports. For each of the 9 reports, RPMS UDS also produces lists of all patients and related visits that are counted in the reports.

Note: The UDS 2021 reports are due to BPHC on or before February 15, 2022. This is in order to assure adequate time for review and data error correction prior to final submission. Changes to these data will be permitted until March 31, 2022.

RPMS UDS Reporting System software will be reviewed annually and updated as needed as BPHC reporting requirements change.

2.1 About the BPHC UDS

The BPHC UDS is an integrated reporting system used by all grantees of the following primary care programs administered by the BPHC, HRSA:

- Community Health Center, as defined in Section 330(e) of the Health Centers Consolidation Act as amended
- Migrant Health Center, as defined in Section 330(g) of the Act
- Health Care for the Homeless, as defined in Section 330(h) of the Act
- Public Housing Primary Care, as defined in Section 330(i) of the Act

BPHC collects data on its programs to ensure compliance with legislative mandates and to report program accomplishments to Congress, the Office of Management and Budget (OMB), and other policy making bodies. To meet these objectives, BPHC requires that grantees annually submit a core set of information that is appropriate for monitoring and evaluating performance and for reporting on annual trends. The UDS is the vehicle used by BPHC to obtain this information.

UDS reports provide a comprehensive picture of all activities within the scope of BPHC-supported projects. Grantees should report on the total (unduplicated) number of patients and activities within the scope of projects supported by any and all BPHC primary care programs covered by the UDS.

See the Quick Reference Guide for relevant excerpts from the BPHC UDS manual. Additional information can be obtained from the following website: https://bphc.hrsa.gov/datareporting/reporting/index.html.
2.2 RPMS UDS Reporting System Overview

The RPMS UDS Reporting System is a software tool that produces 9 of the 11 reports required annually by BPHC grantees. These reports provide an overview of patients and visits at a grantee facility. The information gathered includes:

1. Number of patients
2. Patient’s age
3. Patient’s gender
4. Patient’s zip code of residence
5. Patient’s race/ethnicity
6. Number of visits by provider type
7. Number of visits by key diagnoses and services
8. Quality of care indicators
9. Health outcome
10. Disparities

The system produces the following reports:

- Patients by Zip Code
- Patients by Age and Gender (Table 3A)
- Patients by Hispanic or Latino Identity/Race/Language (Table 3B)
- Selected Patient Characteristics (Table 4)
- Staffing and Utilization (Table 5, Column A)
- Staffing and Utilization (Table 5, Columns B and C)
- Selected Diagnoses and Services Rendered (Table 6A)
- Quality of Care Indicators (Table 6B)
- Health Outcome and Disparities (Table 7)
- Patient-Related Revenue (Table 9D)

Additionally, RPMS UDS will provide the following lists to assist in verifying data.

- LST1–Lists for Tables Zip Code, 3A & 3B, 4, 5, and 6A
- LST2–Lists for Table 6B
- LST3–Lists for Table 7
- Individual quarter reports for the entire calendar year can also be run.
Note: Tables 6B and 7 must be run using the Full Calendar Year option. If these reports are run using the Quarterly options, the totals combined will not match the calendar year totals.

The RPMS UDS also includes an option to save all patients that meet the BPHC definition of a patient to an RPMS search template. That data can then be used to prepare other needed reports that are submitted to BPHC.

Note: BPHC reporting is based on calendar year (January through December) rather than fiscal year (October through September).

See the “Report Descriptions” section in the *BUD User Manual* for a description of the detailed logic for each report.
3.0 Installation Notes
Prefix: BUD
Current Version: 16.0

3.1 Contents of Distribution
Table 3-1 lists the files and their descriptions that are included in the BUD V16.0 distribution.

Table 3-1: Contents of BUD v15.0 Distribution

<table>
<thead>
<tr>
<th>File</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>bud_1600.k</td>
<td>Kernel Installation &amp; Distribution System (KIDS) file containing software</td>
</tr>
<tr>
<td>bud_160i.pdf</td>
<td>Installation guide in PDF format</td>
</tr>
<tr>
<td>bud_160t.pdf</td>
<td>Technical manual in PDF format</td>
</tr>
<tr>
<td>bud_160u.pdf</td>
<td>User's guide in PDF format</td>
</tr>
</tbody>
</table>

3.2 Required Resources
- Kernel v8.0 patch 1018 (XU*8.0*1018) or later
- FileMan v22.0 patch 1018 (DI*22.0*1018) or later
- Uniform Data System V15.0 (bud_1500.k)
- Clinical Reporting System v21.1 or higher (bgp_2110.k)
- Taxonomy System V5.1 through patch 38 (atx_0510.38k)

3.3 Before You Begin: Installation Issues
1. Make a copy of this distribution for off-line storage.
2. Print a copy of all notes and/or readme files.
3. Capture the terminal output during the installation using an auxport printer attached to the terminal at which you are performing the software installation. This will ensure a printed audit trail if any problems should arise.
4.0 Installation Instructions

In all UCIs running the RPMS UDS Reporting System:

1. Ensure that users are unable to use the RPMS UDS Reporting System for the duration of this installation. Installation takes approximately five minutes.

2. Save all routines (^%RO (CACHE)) and globals (^%GO (CACHE)) in the BUDL* namespace for use in returning to the previous version installed, if needed.

   **Note:** If this is a first-time install, there will be no routines or globals in this namespace.

3. Install **bud_1600.k**. This file is the KIDS file for the RPMS UDS Reporting System.
   
   a. Load the distribution into KIDS using **Option 1** on the KIDS Installation menu.
   
   b. Verify the load using **Option 2** on the KIDS Installation Menu.
   
   c. Optional: Load **Options 3 and 4** on the KIDS Installation Menu to print and compare the transport.
   
   d. Install the distribution using **Option 6** on the KIDS Installation Menu.

4. After the installation is complete, assign security key **BUDZMENU** to all users of this package at the service unit level. If this package is being installed at an Area Office, the BUDZMENU and BUDZMGR keys also need to be assigned to users of the package.
5.0 Sample Installations

CMBB>D ^XPDKRN

KIDS 8.0

Select KIDS OPTION: 1 LOAD A DISTRIBUTION
Enter a Host File: G:\export\bud_1600.k

KIDS Distribution saved on Aug 04, 2021@10:57:53
Comment: IHS/RPMS UDS V16.0

This Distribution contains Transport Globals for the following Package(s):
Build IHS/RPMS UNIFORM DATA SYS 16.0 has been loaded before, here is when:
   IHS/RPMS UNIFORM DATA SYS 16.0 Install Completed
      was loaded on Jun 01, 2021@14:43:06
   IHS/RPMS UNIFORM DATA SYS 16.0 Install Completed
      was loaded on Jun 02, 2021@11:49:26
OK to continue with Load? NO// y YES

Distribution OK!

Want to Continue with Load? YES//
Loading Distribution...

Build IHS/RPMS UNIFORM DATA SYS 16.0 has an Environmental Check Routine
Want to RUN the Environment Check Routine? YES//
IHS/RPMS UNIFORM DATA SYS 16.0
Will first run the Environment Check Routine, BUDLENV

Patch "XU*8.0*1018" is installed.
Patch "DI*22.0*1018" is installed.
CRS (BGP) Version 21.1 is installed.
UDS (BUD) Version 15.0 is installed.
Patch "ATX*5.1*38" is installed.

Use INSTALL NAME: IHS/RPMS UNIFORM DATA SYS 16.0 to install this Distribution.

CMBB>D ^XPDKRN

KIDS 8.0

Select KIDS OPTION: INSTALL PACKAGE(S)
Select INSTALL NAME: IHS/RPMS UNIFORM DATA SYS 16.0 Loaded from Distribution
   8/4/21@11:00:57
   => IHS/RPMS UDS V16.0 ;Created on Aug 04, 2021@10:57:53

This Distribution was loaded on Aug 04, 2021@11:00:57 with header of
   IHS/RPMS UDS V16.0 ;Created on Aug 04, 2021@10:57:53
   It consisted of the following Install(s):
   IHS/RPMS UNIFORM DATA SYS 16.0
Checking Install for Package IHS/RPMS UNIFORM DATA SYS 16.0
Will first run the Environment Check Routine, BUDLENV

Patch "XU*8.0*1018" is installed.
Patch "DI*22.0*1018" is installed.
CRS (BGP) Version 21.1 is installed.
UDS (BUD) Version 15.0 is installed.
Patch "ATX*5.1*38" is installed.
Install Questions for IHS/RPMS UNIFORM DATA SYS 16.0

Incoming Files:

90666.901 UDS 21 SITE PARAMETERS
   Note: You already have the 'UDS 21 SITE PARAMETERS' File.

90666.902 UDS 21 CONTROL TABLES (including data)
   Note: You already have the 'UDS 21 CONTROL TABLES' File.
   I will REPLACE your data with mine.

90666.9021 UDS 21 TAXONOMIES (including data)
   Note: You already have the 'UDS 21 TAXONOMIES' File.
   I will REPLACE your data with mine.

90666.903 UDS 21 TABLE 3 FORMAT (including data)
   Note: You already have the 'UDS 21 TABLE 3 FORMAT' File.
   I will REPLACE your data with mine.

90666.9031 UDS 21 DELIMITED FORMAT (including data)
   Note: You already have the 'UDS 21 DELIMITED FORMAT' File.
   I will REPLACE your data with mine.

90666.904 UDS 21 INCOME LEVELS (including data)
   Note: You already have the 'UDS 21 INCOME LEVELS' File.
   I will REPLACE your data with mine.

90666.905 UDS 21 TABLE 5 FORMAT (including data)
   Note: You already have the 'UDS 21 TABLE 5 FORMAT' File.
   I will OVERWRITE your data with mine.

90666.906 UDS 21 LST2 REPORTS (including data)
   Note: You already have the 'UDS 21 LST2 REPORTS' File.
   I will REPLACE your data with mine.

90666.907 UDS 21 TABLE 6 CODES (including data)
   Note: You already have the 'UDS 21 TABLE 6 CODES' File.
   I will REPLACE your data with mine.

90666.908 UDS 21 TABLE CODE LISTS (including data)
   Note: You already have the 'UDS 21 TABLE CODE LISTS' File.
   I will REPLACE your data with mine.

Enter the Device you want to print the Install messages.
You can queue the install by enter a 'Q' at the device prompt.
Enter a '^' to abort the install.

DEVICE: HOME// Virtual
Install Started for IHS/RPMS UNIFORM DATA SYS 16.0:  
Aug 04, 2021@11:01:12

Build Distribution Date: Aug 04, 2021

Installing Routines:  
Aug 04, 2021@11:01:12

Running Pre-Install Routine: PRE^BUDLENV

Installing Data Dictionaries:  
Aug 04, 2021@11:01:13

Installing Data:  
Aug 04, 2021@11:01:13

Installing PACKAGE COMPONENTS:

Installing PROTOCOL

Installing LIST TEMPLATE

Installing OPTION  
Aug 04, 2021@11:01:14

Running Post-Install Routine: POST^BUDLENV

Updating [BUD 21 T6A LINE 1-2] taxonomy...
Updating [BUD 21 T6A LINE 3] taxonomy...
Updating [BUD 21 T6A LINE 4] taxonomy...
Updating [BUD 21 T6A LINE 4A] taxonomy...
Updating [BUD 21 T6A LINE 4B] taxonomy...
Updating [BUD 21 T6A LINE 5] taxonomy...
Updating [BUD 21 T6A LINE 6] taxonomy...
Updating [BUD 21 T6A LINE 7] taxonomy...
Updating [BUD 21 T6A LINE 8] taxonomy...
Updating [BUD 21 T6A LINE 9] taxonomy...
Updating [BUD 21 T6A LINE 10] taxonomy...
Updating [BUD 21 T6A LINE 11] taxonomy...
Updating [BUD 21 T6A LINE 12] taxonomy...
Updating [BUD 21 T6A LINE 13] taxonomy...
Updating [BUD 21 T6A LINE 14] taxonomy...
Updating [BUD 21 T6A LINE 14 ECODES] taxonomy...
Updating [BUD 21 T6A LINE 14A] taxonomy...
Updating [BUD 21 T6A LINE 15] taxonomy...
Updating [BUD 21 T6A LINE 16] taxonomy...
Updating [BUD 21 T6A LINE 17] taxonomy...
Updating [BUD 21 T6A LINE 18] taxonomy...
Updating [BUD 21 T6A LINE 19] taxonomy...
Updating [BUD 21 T6A LINE 19A] taxonomy...
Updating [BUD 21 T6A LINE 20A] taxonomy...
Updating [BUD 21 T6A LINE 20B] taxonomy...
Updating [BUD 21 T6A LINE 20C] taxonomy...
Updating [BUD 21 T6A LINE 20D] taxonomy...
Updating [BUD 21 T6A LINE 21 CPTS] taxonomy...
Updating [BUD 21 T6A LINE 21 CPTS] taxonomy...
Updating [BUD 21 T6A LINE 21A CPTS] taxonomy...
Updating [BUD 21 T6A LINE 21B CPTS] taxonomy...
Updating [BUD 21 T6A LINE 22 CPTS] taxonomy...
Updating [BUD 21 T6A LINE 22] taxonomy...
Updating [BUD 21 T6A LINE 23 CPTS] taxonomy...
Updating [BUD 21 T6A LINE 23] taxonomy...
Updating [BUD 21 T6A LINE 24 CPTS] taxonomy...
Updating [BUD 21 T6A LINE 24 CVX CODES] taxonomy...
Updating [BUD 21 T6A LINE 24A CPTS] taxonomy...
Updating [BUD 21 T6A LINE 24A CVX CODES] taxonomy...
Updating [BUD 21 T6A LINE 25] taxonomy...
Updating [BUD 21 T6A LINE 26] taxonomy...
Updating [BUD 21 T6A LINE 26 CPTS] taxonomy...
Updating [BUD 21 T6A LINE 26A] taxonomy...
Updating [BUD 21 T6A LINE 26A CPTS] taxonomy...
Updating [BUD 21 T6A LINE 26A CVX CODES] taxonomy...
Updating [BUD 21 T6A LINE 26B] taxonomy...
Updating [BUD 21 T6A LINE 26B CPTS] taxonomy...
Updating [BUD 21 T6A LINE 26C] taxonomy...
Updating [BUD 21 T6A LINE 26D] taxonomy...
Updating [BUD 21 T6A LINE 27] taxonomy...
Updating [BUD 21 T6A LINE 28 CPTS] taxonomy...
Updating [BUD 21 T6A LINE 29] taxonomy...
Updating [BUD 21 T6A LINE 29 CPTS] taxonomy...
Updating [BUD 21 T6A LINE 30 CPTS] taxonomy...
Updating [BUD 21 T6A LINE 31 CPTS] taxonomy...
Updating [BUD 21 T6A LINE 32 CPTS] taxonomy...
Updating [BUD 21 T6A LINE 33 CPTS] taxonomy...
Updating [BUD 21 T6A LINE 34 CPTS] taxonomy...
Updating [BUD 21 T6A LINE 24B] taxonomy...
Updating [BUD 21 T6B CONTRA ALL VACCINES] taxonomy...
Updating [BUD 21 T6B HPV CPTS] taxonomy...
Updating [BUD 21 T6B TOBACCO PREV CPTS] taxonomy...
Updating [BUD 21 T6B TOBACCO USER CPTS] taxonomy...
Updating [BUD 21 T6B TOBACCO USER DXS] taxonomy...
Updating [BUD 21 T6B TOBACCO SCREEN DXS] taxonomy...
Updating [BUD 21 T6B TOBACCO SCREEN CPTS] taxonomy...
Updating [BUD 21 T6B ASTHMA PERS DXS] taxonomy...
Updating [BUD 21 T6B ASTHMA PERS CPTS] taxonomy...
Updating [BUD 21 T6B ASTHMA EXCL DXS] taxonomy...
Updating [BUD 21 T6B DENTAL NO SEAL DXS] taxonomy...
Updating [BUD 21 T6B HYSTERECTOMY PROCS] taxonomy...
Updating [BUD ECQM LIVER DISEASE DXS] taxonomy...
Updating [BUD 21 T6B ESRD IHS DXS] taxonomy...
Updating [BUD 21 T6B ESRD IHS CPTS] taxonomy...
Updating [BUD 21 T6B ESRD IHS DXS] taxonomy...
Updating [BUD 21 T6B ESRD IHS CPTS] taxonomy...
Updating [BUD 21 T6B IVD AMI DXS] taxonomy...
Updating [BUD 21 T6B IVD CABG/PCI CPTS] taxonomy...
Updating [BUD 21 T6B CRC CANCER IHS DXS] taxonomy...
Updating [BUD 21 T6B CRC COLECT IHS DXS] taxonomy...
Updating [BUD 21 T6B CRC COLO IHS DXS] taxonomy...
Updating [BUD 21 T6B CRC COLO IHS PROCS] taxonomy...
Updating [BGP IPC CT COLONOGRAPHY CPTS] taxonomy...
Updating [BUD 21 T6B CRC FOBT IHS DXS] taxonomy...
Updating [BUD 21 T6B CRC FOBT IHS CPTS] taxonomy...
Updating [BUD 21 T6B HIV HIV DXS] taxonomy...
Updating [BUD 21 T6B HIV HIV CPTS] taxonomy...
Updating [BUD 21 T7 ESRD IHS DXS] taxonomy...
Updating [BUD 21 T7 ESRD IHS PROCS] taxonomy...
Updating [BUD 21 T7 ESRD IHS DXS] taxonomy...
Updating [BUD 21 T7 ESRD IHS CPTS] taxonomy...
Updating [BUD 21 T7 DIABETES DXS] taxonomy...
Updating [BUD 21 T7 GEST/Steroid DXS] taxonomy...
Updating [BUD 21 T7 HGAI1C DXS] taxonomy...
Updating [BUD 21 T7 MENTAL HEALTH DXS] taxonomy...
Creating [BUD 21 T5 VIRTUAL VISIT CPTS] taxonomy...
Creating [BUD 21 T6A LINE 21E] taxonomy...
Creating [BUD 21 T6A LINE 21E] taxonomy...
Creating [BUD 21 T6A LINE 20E] taxonomy...
Creating [BUD 21 T6A LINE 20E] taxonomy...
Creating [BUD 21 T6A LINE 20F] taxonomy...
Creating [BUD 21 T6A LINE 20F] taxonomy...
Creating [BUD 21 T6B TOB CESSATION CPTS] taxonomy...
Figure 5-1: A sample KIDS installation
## Acronym List

<table>
<thead>
<tr>
<th>Acronym</th>
<th>Term Meaning</th>
</tr>
</thead>
<tbody>
<tr>
<td>BMI</td>
<td>Body Mass Index</td>
</tr>
<tr>
<td>BPHC</td>
<td>Bureau of Primary Health Care</td>
</tr>
<tr>
<td>CMS</td>
<td>Centers for Medicare and Medicaid Services</td>
</tr>
<tr>
<td>CQM</td>
<td>Clinical Quality Measure</td>
</tr>
<tr>
<td>CRS</td>
<td>Clinical Reporting System</td>
</tr>
<tr>
<td>CY</td>
<td>Calendar Year</td>
</tr>
<tr>
<td>HHS</td>
<td>Health and Human Services</td>
</tr>
<tr>
<td>HPV</td>
<td>Human Papilloma Virus</td>
</tr>
<tr>
<td>HRSA</td>
<td>Health Resources and Services Administration</td>
</tr>
<tr>
<td>IHS</td>
<td>Indian Health Service</td>
</tr>
<tr>
<td>KIDS</td>
<td>Kernel Installation &amp; Distribution System</td>
</tr>
<tr>
<td>OMB</td>
<td>Office of Management and Budget</td>
</tr>
<tr>
<td>QI</td>
<td>Quality Improvement</td>
</tr>
<tr>
<td>RPMS</td>
<td>Resource and Patient Management System</td>
</tr>
<tr>
<td>SNOMED</td>
<td>Systematized Nomenclature of Medicine</td>
</tr>
<tr>
<td>UDS</td>
<td>Uniform Data System</td>
</tr>
</tbody>
</table>
Contact Information

If you have any questions or comments regarding this distribution, please contact the IHS IT Service Desk.

Phone:  (888) 830-7280 (toll free)
Web:  https://www.ihs.gov/itsupport/
Email:  itsupport@ihs.gov