Today's webinar

1. SDPI 2021 Funding and NoA Update

2. SDPI Data for 2020

    a. Recap of requirements

    b. Final Required Key Measure (RKM) data submission

    c. Reviewing results

3. SDPI 2020 Annual Progress Report

4. SOS Website and Demonstration

5. Questions

SDPI – Commonly Used Abbreviations

- ADC = Area Diabetes Consultant
- Audit = IHS Diabetes Care and Outcomes Audit
- Best Practice = SDPI Diabetes Best Practices
- DDTP = IHS Division of Diabetes Treatment and Prevention
- DGM = IHS Division of Grants Management
- DMS = Diabetes Management System
- EHR = Electronic Health Record System
- GMS = Grants Management Specialist
- NoA = Notice of Award for your SDPI Grant
- PO = Program Officer
- RKM = Required Key Measure
- RPMS = Resource and Patient Management System
- SDPI = Special Diabetes Program for Indians
- SOS = SDPI Outcomes System

SDPI 2021 Funding and NoA Update

SDPI 2021 Funding and NoA Update

- SDPI FY 2021 Funding:
  - CARES Act: $25 million
  - Initial FY 2021 Continuing Resolution (thru 12/11): $4.5 million
  - 1-week Continuing Resolution (thru 12/18)
  - Hopefully will be part of the omnibus funding bill Congress may pass by Friday (12/18)
- So far, IHS has *received* approximately $29.5 million for SDPI FY 2021, which is 20% of the usual $150 million.
- In order to maximize existing funds and to issue the NoAs as timely as possible, the IHS Division of Grants Management (DGM) is utilizing a combination of:
  1. $29.5 million of SDPI FY 2021 authorized funds,
  2. $30 million in SDPI FY 2020 offset and prior year funds, as well as
  3. Funding from offsets implemented in FY 2021 to 78 SDPI grants with large unobligated (carryover) balances.

SDPI FY 2021 NoAs

- **Awards *without* Offsets:** Awards not receiving an offset will receive a NoA for approximately **55%** of the annual award amount. If/when Congress authorizes additional SDPI FY 2021 funds, these awards will be amended and supplemented as those funds becomes available.

- **Awards *with* Offsets**: An offset authorizes funding levels for an award but where some/all of those funds utilize the grant's own unobligated balances. As such, the 78 grants receiving an offset in FY 2021 will receive a NoA granting authority to utilize their own unobligated funding for 50-100% of their annual grant award, depending on the size of each grant's offset.

SDPI FY 2021 NoAs:
Awards with Offsets

- Grants receiving a 100% offset will receive a NoA for their full annual grant amount utilizing their own unobligated funds (including $1 in new funds necessary to keep their Payment Management System accounts active).

- Grants receiving offsets of 50% or 75% will receive a NoA for either 50% or 75% of their annual grant amount utilizing their own unobligated funds (including $1 in new funds).
  - If Congress authorizes additional SDPI FY 2021 funds, these awards will be amended and supplemented up to the remaining 50% or 25% of their annual grant amount.

SDPI Required Key Measure (RKM) Final Data Submission and Review for 2020

SDPI 2020 Recap

1. **Best Practice Requirements:**
   a. Select one Best Practice
   b. Identify a Target Group and select members
   c. Implement proposed activities/services
   d. Collect RKM data for Target Group

2. **RKM Data Submission**: SDPI Outcomes System (SOS)
   a. Baseline (required)
   b. Midyear (optional)
   c. Final (required)

3. **Guidance - Select a Best Practice that:**
   a. Addresses needs identified in your community
   b. You could "move the needle on", show improvement using RKM data

Why do grantees have to submit RKM data into the SOS?

- To show the national results of SDPI activities.
  - Are improvements being made?
  - How big are the improvements?
  - How many people are receiving Best Practice related activities/services?
- To show stakeholders the good work that's being done.
  - You can share your program's results with Tribal leaders, community members, and others.
  - Combined (not individual program) RKM data will be shared with IHS leadership, Tribal leaders, and others.

RKM Data

- **RKM result** = Number and percent of Target Group members who achieve the RKM

- **RKM is specific to the Best Practice selected**. Examples:
  - **Diabetes-related education**: Number and percent of individuals in your Target Group who receive education on any diabetes topic*, either in a group or individual setting. (* Includes nutrition education, physical activity education, and any other diabetes education.)
  - **Glycemic control:** Number and percent of individuals in your Target Group with most recent A1C <8.0%.

- **RKM data are:**
  - Tracked locally throughout the budget period (calendar year).
  - Submitted to DDTP via the SOS at the beginning and end of the budget period.

SDPI 2020 RKM Details

	Baseline	Mid-Year	Final
Required?	Yes	No	Yes
Reflects	Starting point	Progress so far	Final results
Data collected	Before starting activities/services, around the beginning of the budget period	Around the middle of the budget period and/or other times	At the end of the budget period
Due Date	2/28/2020	6/30/2020	1/29/2021
Value	Education BP: Usually 0% Other BP: Could be 0% or higher	Generally higher than 0%	Up to 100% or even higher
Remember			"Lock" data in the SOS after submitting

Two Possible Sources for RKM Data

	EHR (RPMS or Other)	Local Tracking
Target Group List	Set up a register or template (different from main diabetes registry)	Keep track locally using Excel, paper, or other method
Target Group Members Information	Upload and store in WebAudit	Enter into SOS
Target Group Members RKM Data	Upload and store in WebAudit	Enter into SOS
Baseline RKM Result	Two options: 1. **Preferred**: Upload into and Pull from WebAudit for Jan-Dec of previous year 2. Aggregate (generally only for education BPs)	Two options: 1. Submit RKM result from Individual Entry 2. Aggregate (often appropriate for education BP)
Final RKM Result	Upload into and pull from WebAudit for Jan-Dec of budget period (2020)	Submit using Individual Entry

SDPI 2020 Final RKM Process

1. **Review** your program's Project Narrative Best Practice section.

2. **Review** your program's RKM data in the SOS (Baseline and possibly other results). Be sure to select 2020 as the Year.

3. **Locate** your program's Target Group list. This could be in your EHR (RPMS or other), a spreadsheet, or other format.

4. **Update** RKM data for each Target Group member, as necessary.

SDPI 2020 Final RKM Process (con't)

5. **Submit** your final RKM data into the SOS. Process depends on which method you will use:
   a. Pull from WebAudit
   b. Enter Individual
   c. Enter Aggregate (requires a waiver)

6. **Review** the final RKM result and compare it to baseline.

7. **Edit and re-submit** RKM data, if necessary.

8. **Lock** your data in the SOS.

Final RKM Data – Target Group Considerations

- If you identified your Target Group **at baseline**:
  - Number of members should be the same for final.
  - Okay if the number is "slightly" different due to patients moving, passing away, or being "automatically" removed from your EHR system.
- If you added Target Group members **during budget period**:
  - If you didn't get as many members as planned, submit what you have.
  - If you got more members than planned, enter them all.
  - If the final number of members submitted into the SOS is "very" different from the number in your 2020 application, contact your ADC discuss.

RKM Data Review

Review all of your program's data for 2020 in SOS, before and after submitting your final RKM result. Look for:

– General Issues

- Is the exact same result in there more than once? If so, contact the SDPI team to remove one of the values.

- Are the correct results marked as Baseline and Final? If not, contact the SDPI team to fix.

- Is your baseline result 100% or close to that? If so, contact your ADC to discuss.

- What is the change from Baseline to Final? Is it consistent with your local data? If not, determine what needs to be done and work with the SDPI team, as needed.

RKM Data Review (cont'd)

- WebAudit Method Issues
  - Is your Denominator "very" different from your Target Group Number? If so, check that your baseline Audit was run on the correct registry/list. Rerun and resubmit if necessary.
  - If you submitted data in addition to baseline, is the denominator the same (or close)? If not, check that your Audits were run on the correct registry/list. Rerun and resubmit if necessary.
- Individual Entry Method Issues
  - Has your RKM current result been submitted? If not, submit result.
  - Is the number of individuals entered "much" smaller than your Target Group Number? If so, determine if additional individuals need to be entered.
  - Is the number of individuals entered "much" bigger than your Target Group Number? Don't worry about this for now.

Final RKM Data - Other Considerations

- It's okay if your final RKM result is not 100%.

- For the WebAudit method with RPMS/DMS:

  - In DMS use the Audit 2020 tools (Audit 2021 is not yet available).

  - Use 12/31/2020 as the Audit Period End Date.

  - Do NOT use your 2020 Annual Audit result.

- Your program can only submit RKM data for your <u>one</u> selected Best Practice and Target Group.

- RKM data can only be submitted for the Target Group as a whole; it cannot be submitted separately for sub-groups.

After you submit your final RKM data

- Lock your data!
- Your Area Diabetes Consultant will review all data for 2020.
- DDTP will also review all data for 2020.
- If there are questions, we will contact you via phone or email.

Your program's RKM data

– Will **not** be shared with anyone other than DDTP, DGM, and ADCs

– Will **not** be used to determine whether or not you will receive future SDPI funding

– Will **not** evaluate activities/services that are not related to your Best Practice

  • You will provide evaluation of these activities/services in your 2020 Annual Progress Report

SOS Information and Resources

- **SOS Websit**e: https://www.ihs.gov/sdpi/sdpi-outcomes-system-sos/
  - Includes checklists for submitting RKM data.
- **SOS Access:**
  - Requires an IHS Web Account: username and password.
  - If you have forgotten your username or need assistance, email the SDPI team: sdpi@ihs.gov
  - More than one person from the same program can have SOS access.
  - Each person who needs to use the SOS should request access using their own IHS Web Account.
- **Other resources:**
  - SDPI website: https://www.ihs.gov/sdpi/
  - Diabetes Audit website: https://www.ihs.gov/diabetes/audit/
  - RPMS website: https://www.ihs.gov/RPMS/

2020 Annual Progress Report (Coming soon!) – mid-January 2021

SOS Website & Demonstration