

# Getting to the Roots of Obesity: Early Life Experience



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“It is assumed that once the ‘healthy choice’ is pointed out, everyone will select it and no account is taken of the very differing circumstances and aspirations of different people’s lives.”

# Our Current Path—

## An all-too-common story: “Mary”

### ■ Pre-conception

- Mother’s grandparents went to boarding school, parents have had trouble with alcohol; most of them developed diabetes
- Family income below poverty line, buy food at reservation store

### ■ Pregnancy and Birth

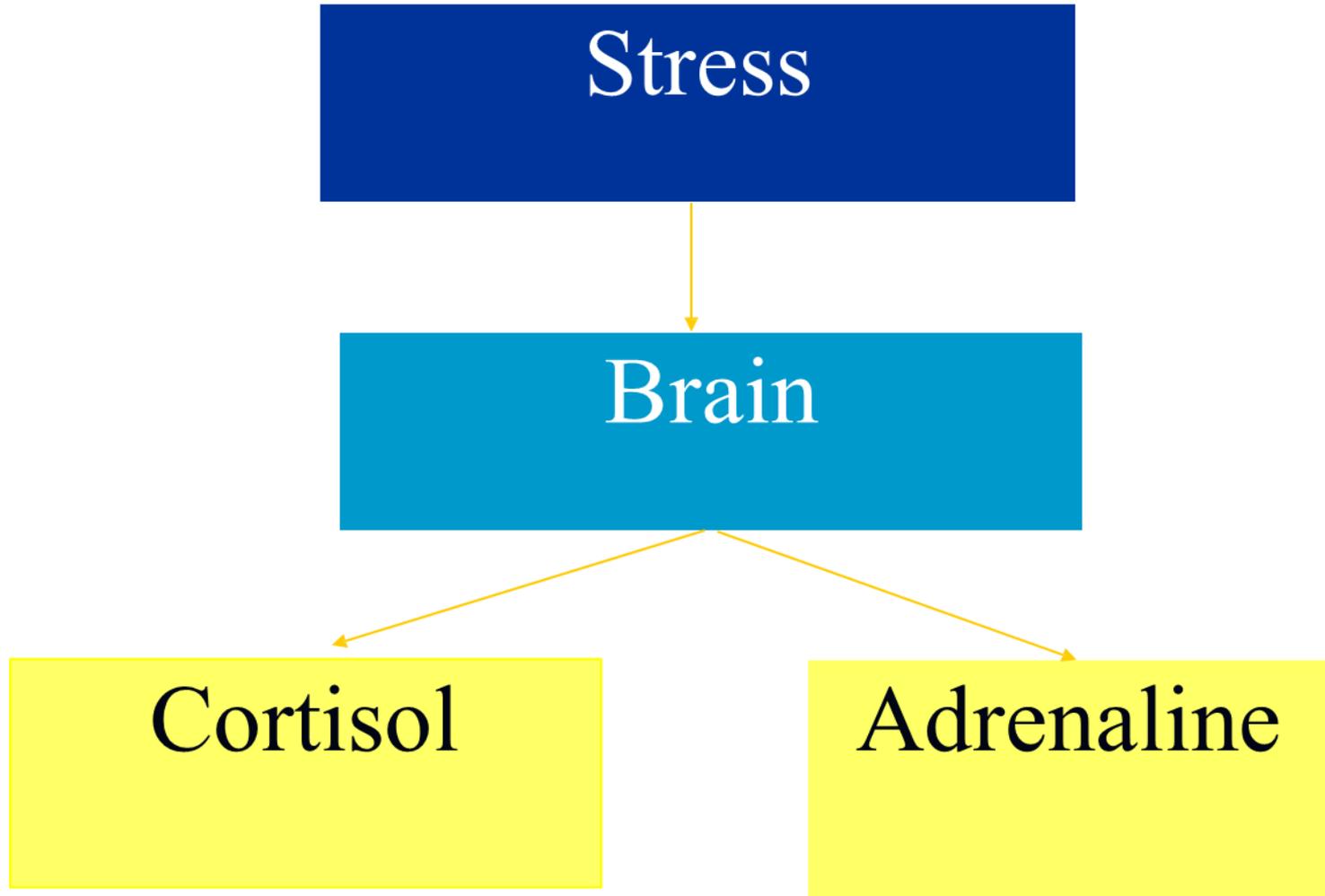
- Single 15 year old, won’t say who FOB is
- Intermittent prenatal care
- WIC foods have to be shared with family
- Stopped using drugs when found out she was pregnant, cut down but continued smoking and got drunk “just a few times”
- Mostly kept going to high school thru pregnancy
- Mary born slightly SGA at 35 weeks gestation, spent 2 wks in hosp.

# “Mary”

## ■ Early Life

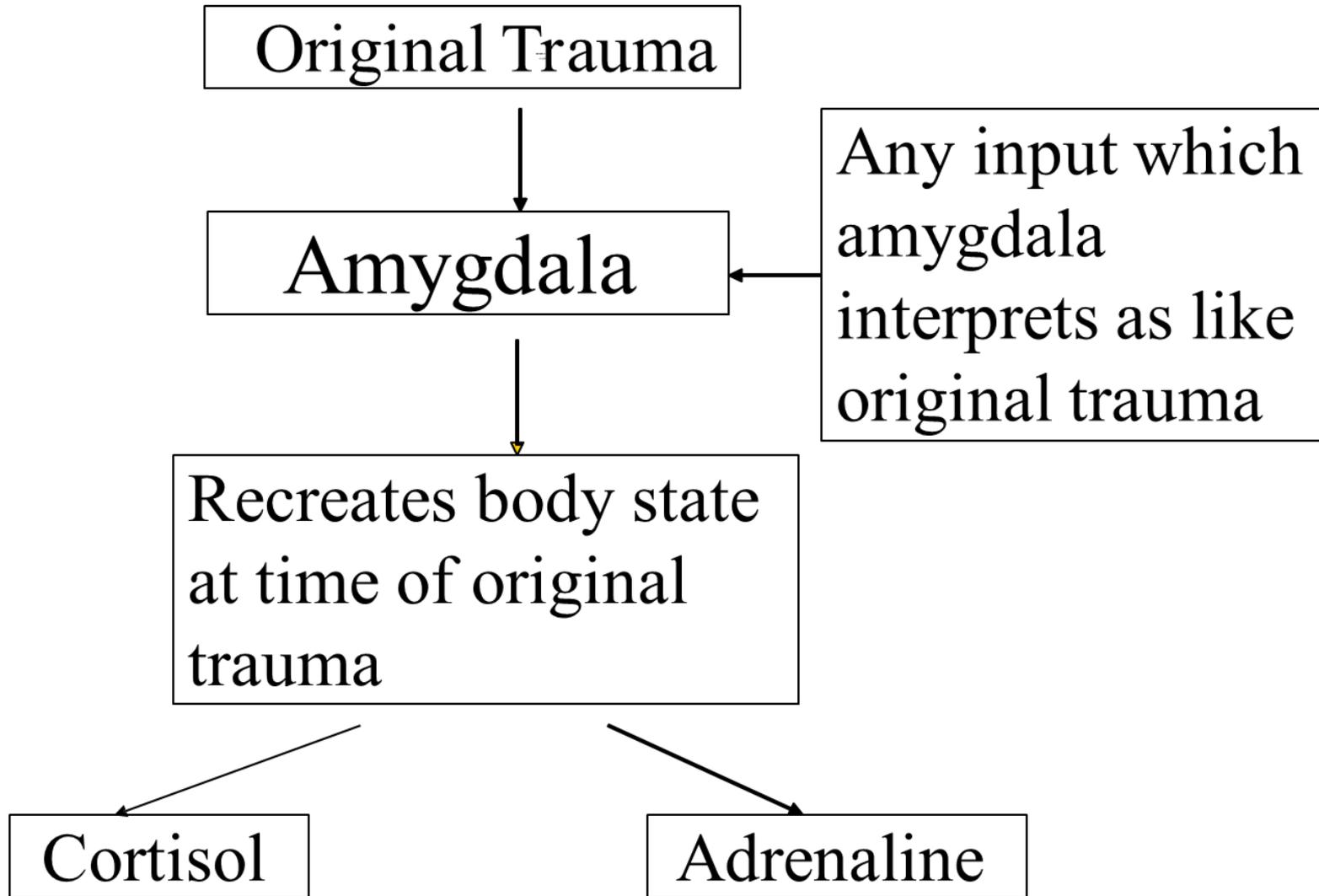
- Grandmother already overwhelmed caring for other grandchildren, but agreed to watch Mary while mother tried to stay in school
    - Mary often sitting in front of TV most of day
  - Then put into tribal child care
    - High staff turnover, minimal teacher-student ratio
  - Family got by on commodities and WIC foods
  - Mary gained weight rapidly in 1<sup>st</sup> yr, then stayed >95<sup>th</sup> % ile
  - Mother’s boyfriend moved in
    - Intermittently employed, binged on alcohol and drugs, sometimes hit mother in front of Mary
  - Mary held back to repeat 2<sup>nd</sup> grade as reading difficulties
  - Mary left school after 10<sup>th</sup> grade
- ## ■ Now Mary becomes pregnant...

# Basic Stress Pathway



# Stress and Trauma

- **Stress**: anything that requires a response, can be “good” or “bad”
- **Trauma**: anything that *overwhelms* our ability to respond, especially if we perceive that our life or our connection to things that support us physically or emotionally is threatened



Original emotion re-experienced: fear, rage, sadness

Adapted from LeDoux, *The Emotional Brain*, 1996

# The brain itself is changed by stress

- “What fires together, wires together”
- Complex process of “sculpting” the brain, converting experience into neuronal changes
  - Cortisol, Brain-Derived Neurotrophic Factor (BDNF)
  - Chronic stress and depression:
    - shrink the hippocampus and prefrontal cortex
      - ↓ Memory, selective attention, executive function/decision making
    - potentiate growth of the amygdala
      - ↑ Fear/hypervigilience, anxiety, aggression

# Adverse Childhood Experiences (ACE)

- Physical, emotional, sexual abuse; mentally ill, substance abusing, incarcerated family member; seeing mother beaten; parents divorced/separated  
--Overall Exposure: 86% (among 7 tribes)

	<u>Non-Native</u>	<u>Native</u>
Physical Abuse-M	30%	40%
Physical Abuse-F	27	42
Sexual Abuse-M	16	24
Sexual Abuse-F	25	31
Emotional Abuse	11	30
Household alcohol	27	65
Four or More ACEs	6	33

# ACEs and Adult Health

## ■ ACE Score $\geq 4$

- 4-12 x risk for alcoholism, drug abuse, depression and suicide attempt
- 2-4 x risk for smoking, teen pregnancy, STDs, multiple sexual partners
- 1.4-1.6 x risk for severe obesity
- Strong graded relationship at all levels of ACEs for almost all outcomes, including heart disease

*Am J Prev Med* 1998;14:245-258 and *Circulation* 2004;110:1761-6

## ■ Across 10 countries, adults who experienced $\geq 3$ childhood adversities

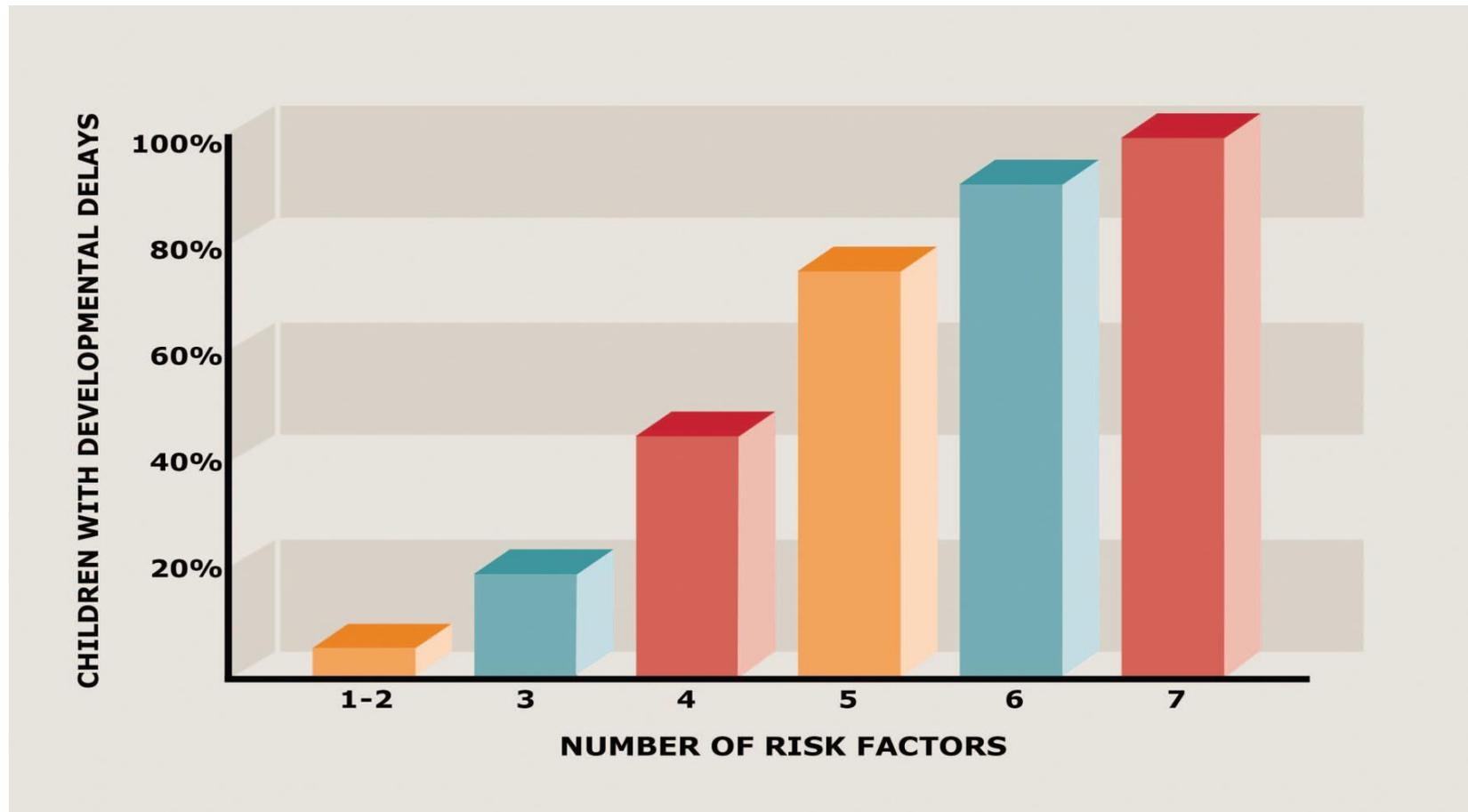
- Hazard ratios 1.59 for diabetes, 2.19 for heart disease
- Risk similar to the association between cholesterol and heart disease
  - Both in magnitude as well as population prevalence

*Arch Gen Psychiatry* 2011;68:838-844

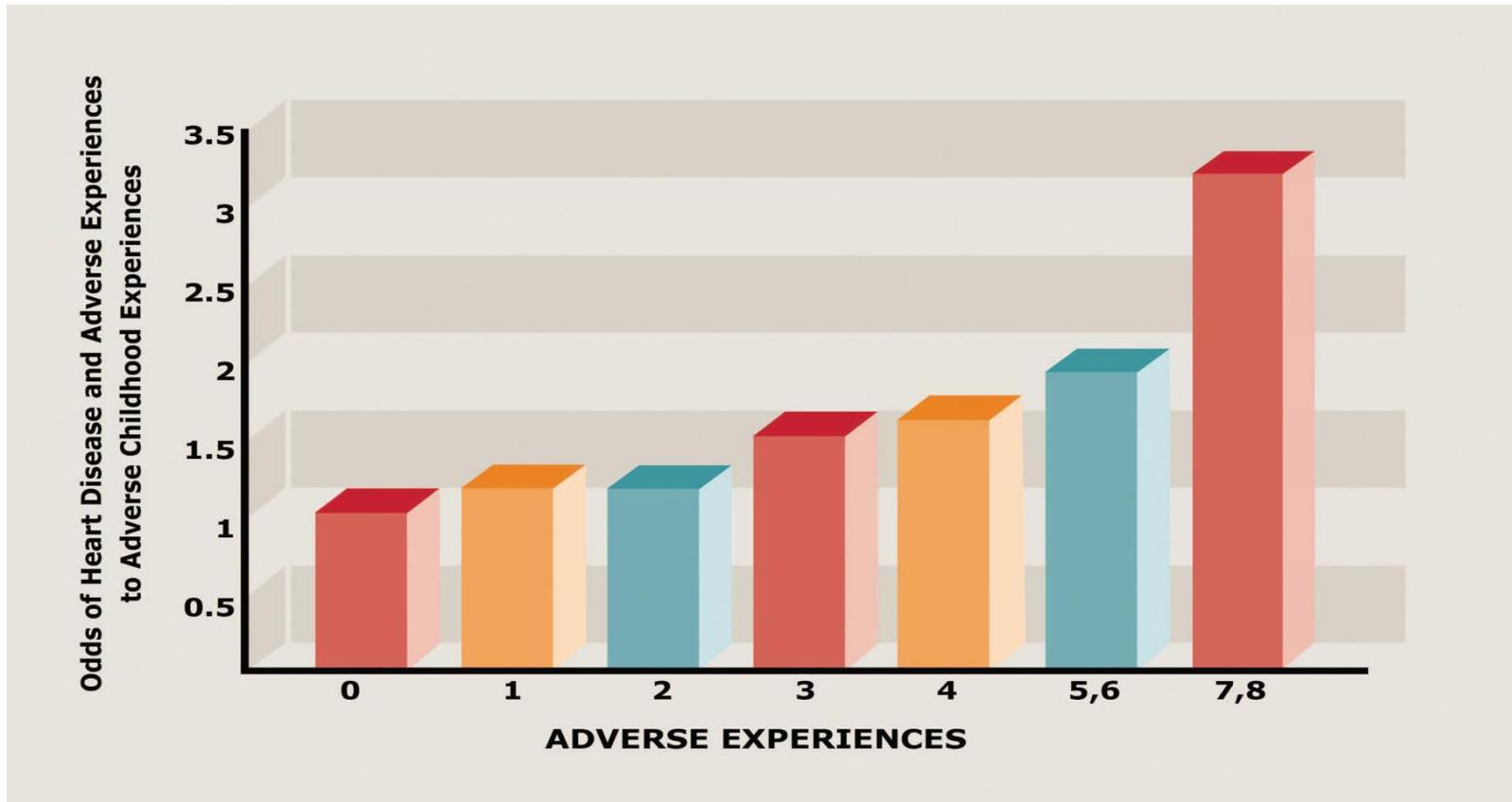
# What is the average ACE score of:

- --the patients you work with?
- --the staff in your program?
- What is *your* ACE score?

90-100% chance of developmental delays when children experience 6-7 risk factors



# 3:1 odds of adult heart disease after 7-8 adverse childhood experiences



# Stress in Children: Long-term Consequences

- Chronic exposure to Intimate Partner Violence almost doubles (OR 1.8) risk of obesity at age 5 years

*Arch Pediatr Adolesc Med* 2010;164:540-546

- Young children who had objectively-measured poor quality maternal-child relationships had 2 ½ x ↑ prevalence of adolescent obesity c/w those who did not  
*Pediatrics* 2012;129:132-40

■ “...reducing toxic stress can target the common physiologic pathway implicated in an enormous array of health outcomes from asthma to cardiovascular disease.”

*Pediatrics* 2013;131:319-327

# Stress in Children

## ■ Positive

- Normal/necessary part of healthy development
  - First day with new caregiver; immunization
- Brief increases in heart rate and stress hormones

## ■ Tolerable

- More severe, longer lasting stressor
  - Loss of a loved one, natural disaster, injury
- If buffered by relationship with supportive adult(s), brain and body can recover

## ■ Toxic

- Strong, frequent, prolonged adversity
  - Abuse, neglect, caregiver mental illness, poverty
- If no adult support, can disrupt brain and organ development long-term

**Domains of Impairment in Children Exposed to Complex Trauma**

I. Attachment	IV. Dissociation	VI. Cognition
<p>Problems with boundaries                      Distrust and suspiciousness                      Social isolation                      Interpersonal difficulties                      Difficulty attuning to other people's emotional states                      Difficulty with perspective taking</p>	<p>Distinct alterations in states of consciousness                      Amnesia                      Depersonalization and derealization                      Two or more distinct states of consciousness                      Impaired memory for state-based events</p>	<p>Difficulties in attention regulation and executive functioning                      Lack of sustained curiosity                      Problems with processing novel information                      Problems focusing on and completing tasks                      Problems with object constancy                      Difficulty planning and anticipating                      Problems understanding responsibility                      Learning difficulties                      Problems with language development                      Problems with orientation in time and space</p>
II. Biology	V. Behavioral control	VII. Self-concept
<p>Sensorimotor developmental problems                      Analgesia                      Problems with coordination, balance, body tone                      Somatization                      Increased medical problems across a wide span (eg, pelvic pain, asthma, skin problems, autoimmune disorders, pseudoseizures)</p>	<p>Poor modulation of impulses                      Self-destructive behavior                      Aggression toward others                      Pathological self-soothing behaviors                      Sleep disturbances                      Eating disorders                      Substance abuse                      Excessive compliance                      Oppositional behavior                      Difficulty understanding and complying with rules                      Reenactment of trauma in behavior or play (eg, sexual, aggressive)</p>	<p>Lack of a continuous, predictable sense of self                      Poor sense of separateness                      Disturbances of body image                      Low self-esteem                      Shame and guilt</p>
III. Affect regulation		
<p>Difficulty with emotional self-regulation                      Difficulty labeling and expressing feelings                      Problems knowing and describing internal states                      Difficulty communicating wishes and needs</p>		

# Overeating as a Stress Response

## ■ Food Insecurity:

- Prevalence of overweight in women ↑'s as food insecurity ↑

*Journal of Nutrition.* 2001;131:1738-1745

- Pregnancy: food insecurity assoc with pregravid obesity, ↑ gest wt gain, and gest diabetes

*J Am Diet Assoc* 2010;110:692-701

- 42% of households below poverty level are food insecure,
- 21% of all households with children

*NEJM* 2010;363:6-9

- Independent risk factor for poor glycemic control

*Diabetes Care* 2012;35:233-238

## ■ Carbohydrates affect brain serotonin levels

*Obes Res* 1995 *Suppl* 4:477S-480S

## ■ “Comfort Foods” ↓ HPA axis stress response

*Proc Natl Acad Sci* 2003;100:11696-11701



**“We ...know that sound maternal and fetal nutrition, combined with positive social-emotional support of children through their family and community environments, will reduce the likelihood of negative epigenetic modifications that increase the risk of later physical and mental health impairments.”**

Center on the Developing Child at Harvard University Working Paper 10, 2010

# Prenatal/Early Life Home Visiting

- One of the key evidence-based interventions proven to improve the life trajectories of low income women and children

- Positive effects now shown up to *age 19 yrs*

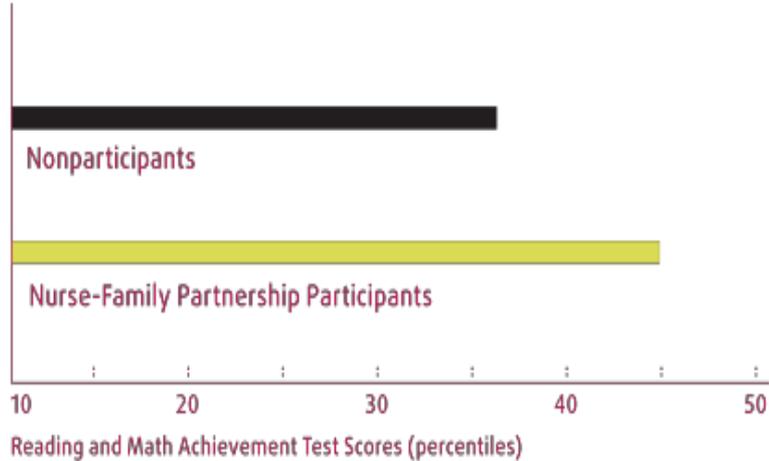
*Arch Pediatr Adolesc Med* 2010;164:9-15, 412-418, 419-424

- If home visiting were a medication, it would be malpractice not to provide it
- Examples of Home Visiting in AI/AN Communities
  - Tribal Maternal, Infant & Early Childhood Home Visiting Program (MIECHV)
    - 25 tribes/T.O.'s now funded to provide home visiting
  - IHS initiative with CHRs



## Academic Achievement

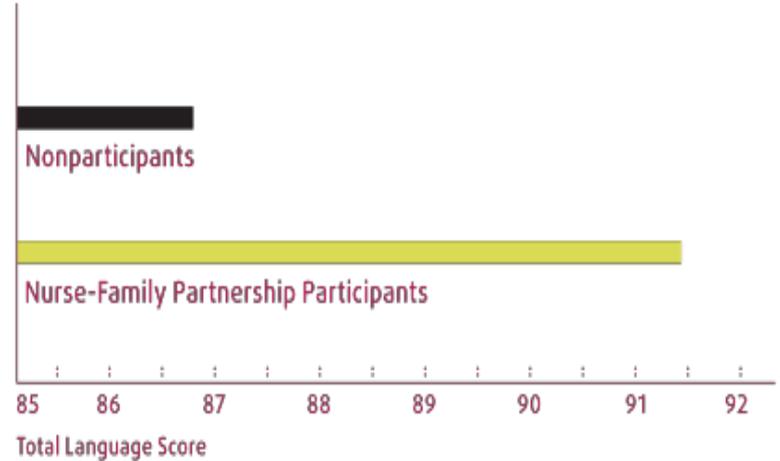
Grades 1-3, Age 9—Memphis  
(Born to low-resource mothers)



Source: Reproduced with permission from *Pediatrics*, Vol. 120, e838, Copyright © 2007 by the AAP.

## Preschool Language Scale

Age 4—Denver  
(Born to low-resource mothers)

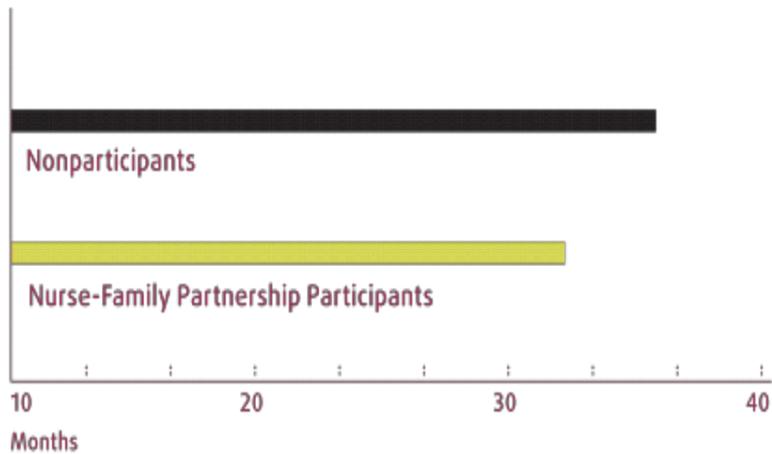


Source: Reproduced with permission from *Pediatrics*, Vol. 114, 1565, Copyright © 2004 by the AAP.



## Months Receiving Welfare Assistance (AFDC)

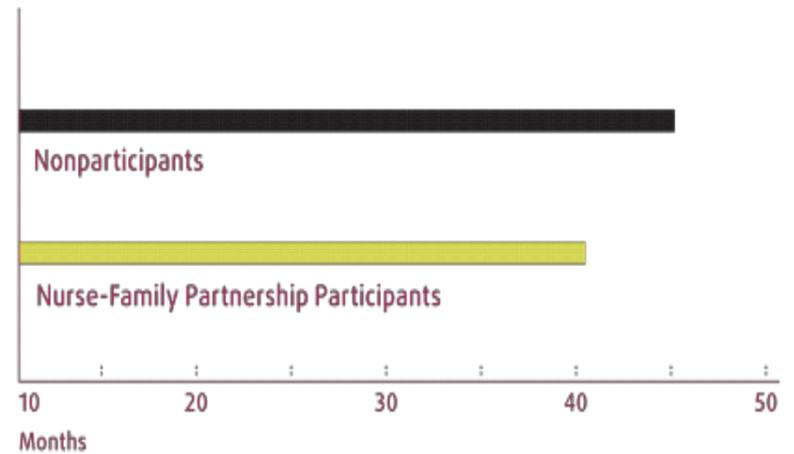
Birth through age 5—Memphis



Source: JAMA, 2000, Vol. 283, 1987, Copyright © 2000, American Medical Association. All rights reserved.

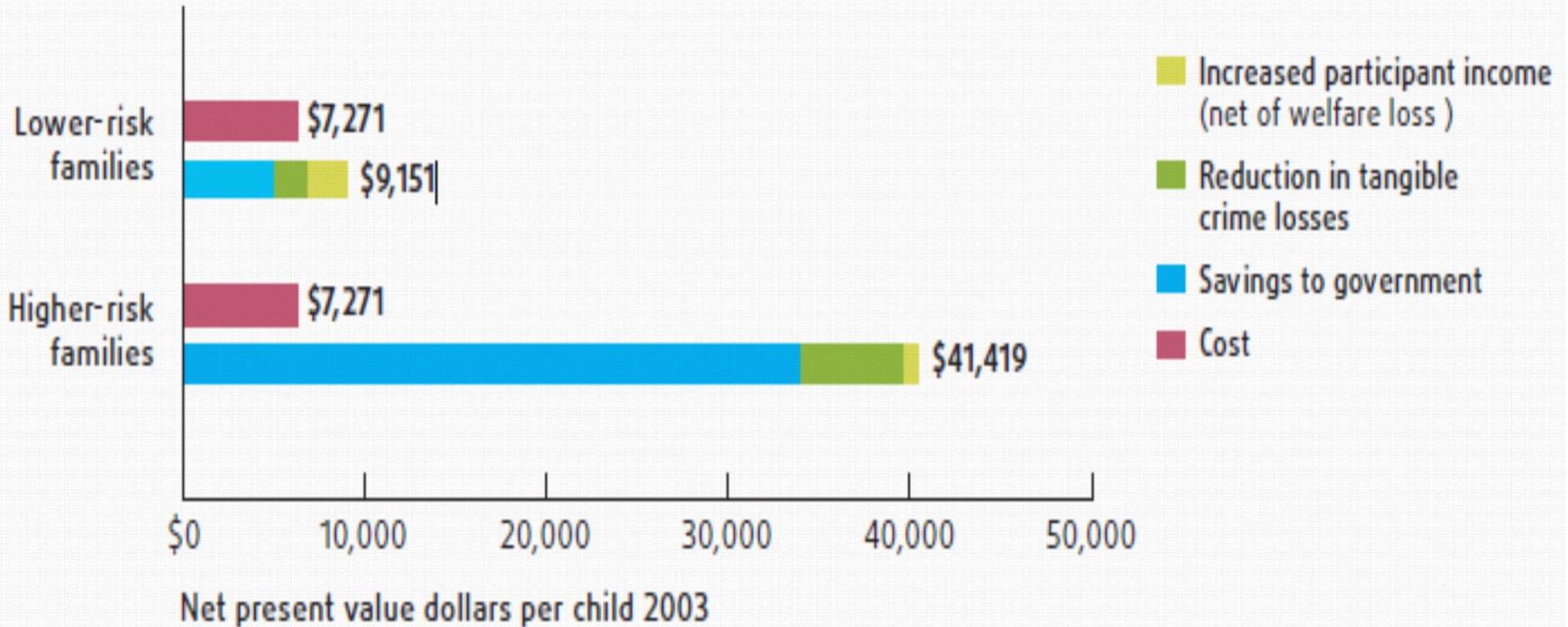
## Months Receiving Food Stamps

Birth through age 5—Memphis



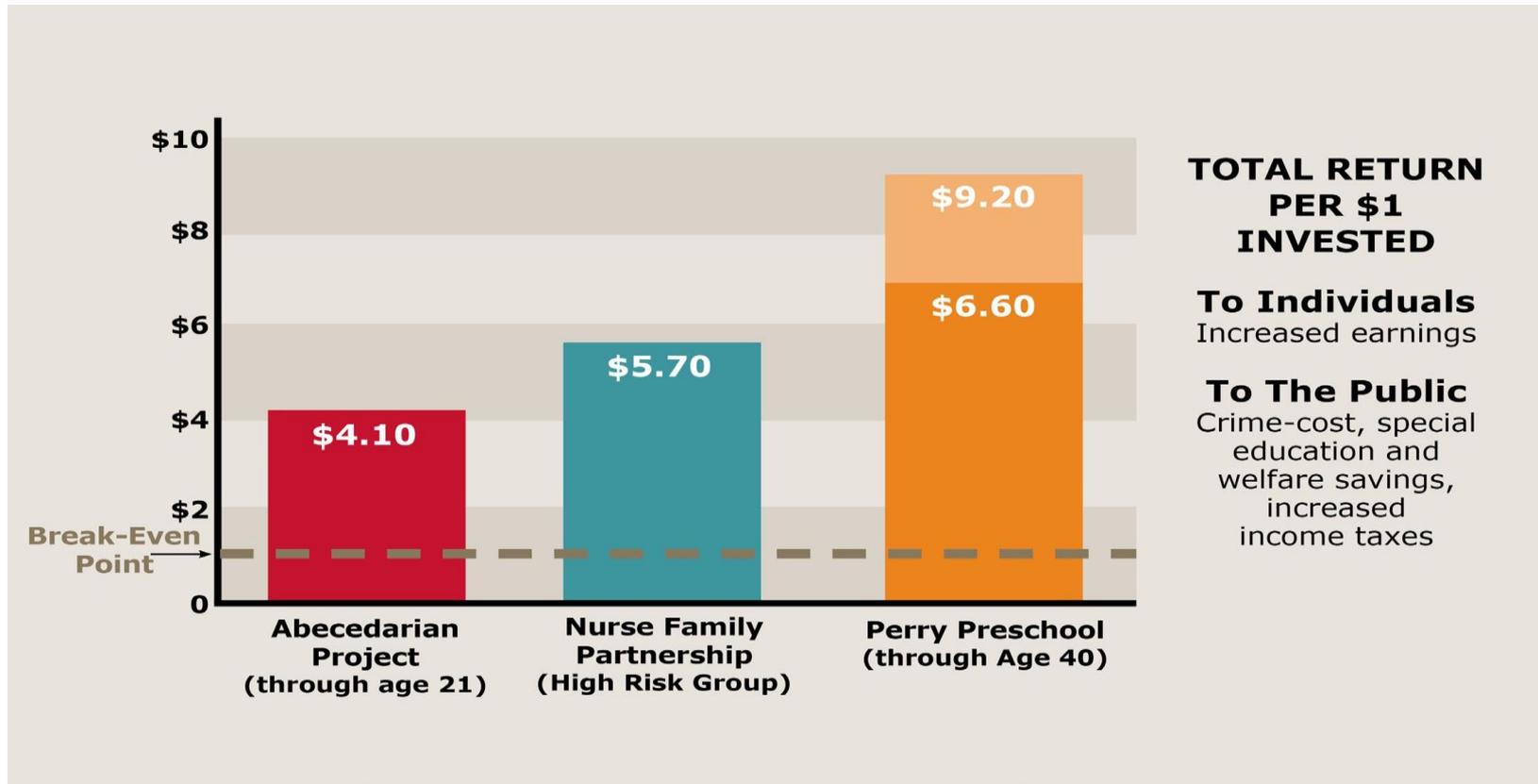
Source: JAMA, 2000, Vol. 283, 1987, Copyright © 2000, American Medical Association. All rights reserved.

# Monetary Benefits



Source: 2005 RAND Corporation Study

# \$4-\$9 in returns for every dollar invested in early childhood programs



Center on the Developing Child at Harvard website

Sources: Masse, L. and Barnett, W.S., A Benefit Cost Analysis of the Abecedarian Early Childhood Intervention (2002); Karoly et al., Early Childhood Interventions: Proven Results, Future Promise (2005); Heckman et al., The Effect of the Perry Preschool Program on the Cognitive and Non-Cognitive Skills of its Participants (2009)

# “Early Life Investments Substantially Boost Adult Health”

- Carolina Abecedarian Project
- Study: 4 cohorts of disadvantaged children born 1972-77
  - 2 stages: birth thru age 5yrs, ages 6-8yrs
  - Intervention children in stage 1
    - Language development, emotional regulation, cognitive skills
    - Caregiving/supervised play
    - Nutrition: 2 meals and a snack at childcare center
    - Primary pediatric care
- In their mid-30s: lower prevalence of CVD and metabolic disease risk factors incl BP, A1C, obesity, HDL





JOHNS HOPKINS  
BLOOMBERG  
SCHOOL of PUBLIC HEALTH



**“In-Home Prevention of Substance Abuse *Risk* in Native Teen Families”**  
**(NIDA Grant #: RO1 DA019042**  
**with additional support from OBSSR)**  
***Family Spirit Trial***



# Participants' Baseline Characteristics\*

- Mean (SD) age = 18.1 (1.5) years
- Mean (SD) gestational age = 25 (3) weeks
- 77% first child
- 3% married
- 41% currently in school
- 51% lived in  $\geq 2$  homes in past year
- 32% elevated depression score ( $>16$  on CES-D)

Lifetime drug use: 84% alcohol, 79% marijuana, 28% meth \* Baseline is the assessment time when participants enrolled in the study, at ~24-28 weeks gestation.

# Family Spirit Measured Impact 1999-2013



## Parenting:

- Increased maternal knowledge.<sup>1,2,3</sup>
- Reduced parent stress.<sup>2,4</sup>
- Increased parent self-efficacy.<sup>3,4</sup>

## Maternal Outcomes:

- Decreased maternal depression.<sup>1,2,4</sup>
- Fewer behavior problems (internal, externalizing, substance use) in mothers.<sup>3,4</sup>

## Child Outcomes:

- Fewer **externalizing, internalizing, dysregulation problems** in children through age 0-3.<sup>2,3,4</sup>
- Higher impact among children of mothers who used substances at baseline.<sup>3,4</sup>

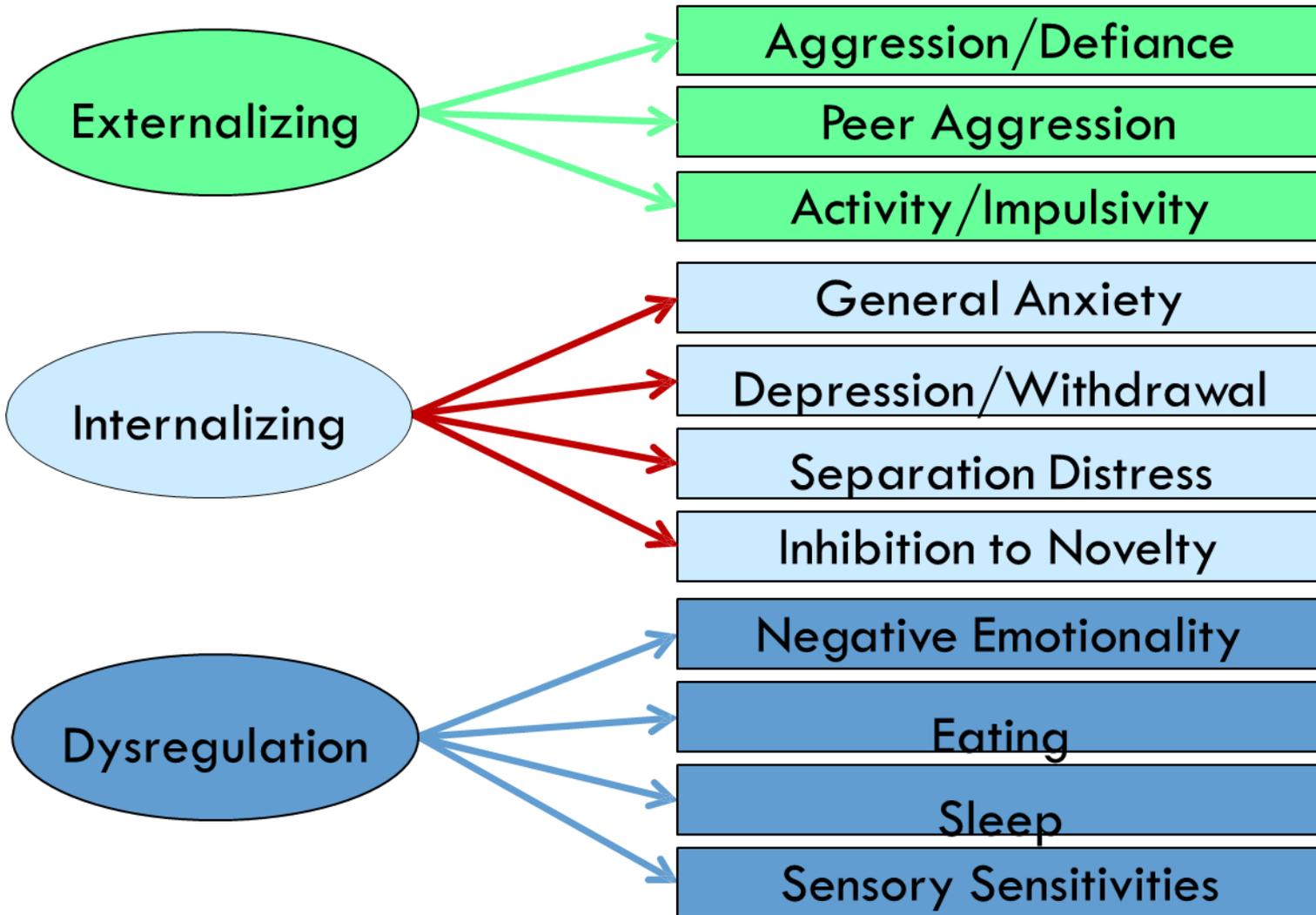
1 Barlow A, Varipatis-Baker E, Speakman K, et al *Arch Pediatr Adolesc Med.* 2006;160:1101-1107

2 Walkup J, Barlow A, Mullany B, et al. *Jour of the Amer Acad of Child and Adolescent Psychiatry.* June 2009.

3 Barlow, A, Mullany B, Neault N, et al. *Amer Jour of Psychiatry.* January 2013.

4 Three year postpartum data in preparation for manuscript

# ITSEA Problem Domains and Subscales within Domains



# Parenting and Early Childhood Behavior Problems Associated with Obesity

- Negative parenting (inconsistent discipline; restrictive, coercive parenting) associated with increased obesity risk in children.

[Int J Obes \(Lond\)](#). 2006 Dec;30(12):1766-74.

[Trends Endocrinol Metab](#). 2013 Apr 19 E-pub

- Externalizing behaviors at 24 mos associated with higher BMI at 24 months and thru age 12 yrs

[BMC Pediatr](#). 2010 Jul 14;10:49

- Obese children have higher rates of externalizing and internalizing disorders.

[Acad Pediatr](#). 2013 Jan-Feb;13(1):6-13

**“You did then what  
you knew how to do, and when  
you knew better, you did better.”**

*Maya Angelou*

# Poverty

- Prevalence of many health and social problems inversely related to poverty
  - Nearly half of all U.S. children grow up in families that are poor or near-poor
  - APA Task Force on Childhood Poverty (2013)
    - Strengthen Child Tax Credit, Earned Income Tax Credit, TANF
  - Raising income (tribal casinos) assoc with ↓ child obesity, ↓ psychopathology risk
- JAMA* 2014;311:929-936 and 2003;290:2023-2029
- “Persistent interventions aimed at promoting better health, education, and social outcomes needs to start early and be consistently applied to counteract poverty’s persistent and dampening pressures on children’s well-being.”

Neal Halfon, *JAMA* 2014;311:915-917

# What can we do?

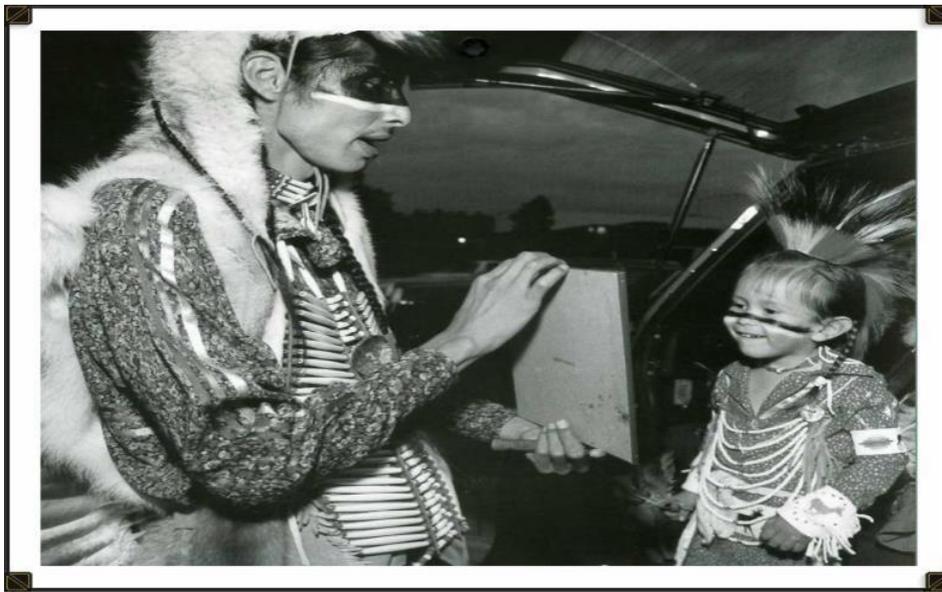
- Home Visiting and Case Management
- Provide *good* nutrition in sufficient quantities
  - WIC, food stamps, commodities don't go far enough
- Parenting
  - Bonding, breastfeeding starting at delivery (e.g. BFHI)
  - Parenting and coping skills training
  - Screen for/treat depression, substance abuse
  - Screen/intervene *early* in adverse childhood experiences
    - Court Referral Program (e.g. Zero to Three)
  - Strengthen, renew tribal pregnancy/childrearing practices
    - Traditional midwifery, doulas, support young parents by elders/family
- Learning
  - Excellent Head Start/Early Head Start, Child Care
  - Encourage parents to read to kids (Reach Out and Read)
- Poverty

# The Path We *Could* Take Rewind: “Mary’s” life

- As soon as mother’s pregnancy diagnosed:
  - Matched with a home visitor/case manager
    - Weekly/biweekly visits focusing on developing a mentoring-type relationship, building on mother’s strengths, helping her to set goals, teaching her new skills
    - All services needed were offered and tailored to her needs
    - WIC foods supplemented so mother had enough good food even though shared with family
    - Mother rewarded for participation in each component
  - Mother went to 90% of her prenatal appointments
    - All but first urine drug screen negative and most cotinine screens
  - Mary born at 39 wks gest, normal weight for gestation

# Rewind: “Mary”

- Visits from home visitor continued until Mary was 2 yrs old
- Mother set/achieved goals: became a CNA through health occupations class and graduated from high school
  - Mary cared for during day by excellent tribal child care program: bonding, learning, good food, social skills, active play, tribal language all emphasized
- Mother attended parenting classes
  - Praised and hugged Mary, appropriately disciplined her
  - Ate dinner together and read to Mary most evenings
  - Left her boyfriend when he wouldn't stop drinking
- Mary's weight stayed around the 90<sup>th</sup> % ile
- Mary graduated from high school, went to tribal college, got a good job, married a guy she met at college
- Now Mary becomes pregnant...



**Isn't this among the most important  
work we can do?**

**"The medicine is already within the pain and suffering.  
You just have to look deeply and quietly. Then you  
realize it has been there the whole time." Duran, 2006**